

SOME COMMENTS ON OPEN SHELL HARTREE-FOCK METHODS  
FOR MOLECULES: THE HYDROGEN MOLECULE ANION

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**ABSTRACT:** Expressions for the one and two Fock operators for the  $H_2^-$  molecule are derived in Hartree-Fock methods for open shell molecules. Furthermore the values of the Lagrange multipliers for  $H_2^-$  are shown, and also the molecular orbital coefficients, by using two different sets of gaussians as basis functions.

The difficulties involved in open shell Hartree-Fock calculations have been recognised for a long time<sup>1-3</sup>. Recently there has been much interest in finding satisfactory methods for molecules<sup>4-10</sup>.

Hillier and Saunders<sup>7</sup> have stressed the arbitrary nature of the molecular orbitals obtained, and referred the doubly occupied, singly occupied and virtual molecular orbitals to different Fock operators. The molecular orbitals that form a diagonal representation of the Fock operator they call canonical. Dodds and McWeeny<sup>9</sup> have given a similar discussion in density matrix terms. Hunt, Dunning and Goddard<sup>6</sup> have described a method in which the singly occupied orbitals are expanded in terms of the virtual orbitals associated with the doubly occupied orbitals. These authors have all made use of their

methods in practical calculations by the *ab initio* Gaussian expansion technique for the integrals. There does not, however, seem to be a detailed comparison of results obtained by these methods available in the literature. The object of this note is to present some results for the hydrogen molecule anion,  $\text{H}_2^-$ .

The two basic methods were discussed by Roothaan<sup>2</sup>, Method I using one Fock operator, and Method II using two Fock operators. In Method I the basic equations defining the molecular orbitals  $\psi_i^C$  for a closed shell and  $\psi_i^0$  for an open shell are:

$$\hat{F} \psi_i^C = \psi_i^C \eta_i^C \quad (1)$$

$$\hat{F} \psi_i^0 = \psi_i^0 \eta_i^0 \quad , \quad (2)$$

where  $\hat{F}$  may be written in terms of a one electron operator  $\hat{b}$  and a two electron operator  $\hat{G}$ , thus,

$$\hat{F} = \hat{b} + \hat{G} \quad (3)$$

In Method II the equations are,

$$\hat{F}^C \psi_i^C = \psi_i^C \epsilon_i^C \quad (4)$$

$$\hat{F}^0 \psi_i^0 = \psi_i^0 \epsilon_i^0 \quad (5)$$

where  $\hat{F}^C$ ,  $\hat{F}^0$  may be written as in equation (3). General expressions are given by Roothaan<sup>2</sup>. The precise form of the operators depends on the state, and involves three constants  $a$ ,  $b$ , and  $f$ .

For  $\text{H}_2^-$  with one doubly filled *gerade* orbital  $\psi_1$  and one singly filled *ungerade* orbital  $\psi_2$ , the diagonal elements of the Fock matrices are, Method I, with  $a = 1$ ,  $b = 2$ ,  $f = \frac{1}{2}$

$$\eta_1 \equiv F_{11} = b_{11} + J_{11} + J_{21} + \frac{1}{2}K_{21} \quad (6)$$

$$\eta_2 \equiv F_{22} = b_{22} + 2J_{21} - K_{21} + \frac{1}{2}J_{22} \quad (7)$$

and Method II,

$$\epsilon_1^C \equiv F_{11}^C = b_{11} + J_{11} + \frac{1}{2}(2J_{12} - K_{12}) \quad (8)$$

$$\epsilon_2^0 \equiv F_{22}^0 = b_{22} + 2J_{12} - K_{12} \quad (9)$$

In Method I the incorrect term  $J_{22}$  appears in  $\eta_2$ , and  $\eta_1$  has a rather surprising form. In Method II the terms have a clear physical meaning. The total energy  $W$  is the same for both methods,

$$W = 2b_{11} + b_{22} + J_{11} + 2J_{12} - K_{12} \quad (10)$$

The matrix elements  $b_{ij}$ ,  $J_{ij}$  and  $K_{ij}$  are defined by,

$$b_{ij} = \int \psi_i^*(1) \hat{h}(1) \psi_j(1) d\tau_1 \quad (11)$$

$$J_{ij} = \int \psi_i^*(1) \psi_j^*(2) (1/r_{12}) \psi_i(1) \psi_j(2) d\tau \quad (12)$$

$$K_{ij} = \int \psi_i^*(1) \psi_j^*(2) (1/r_{12}) \psi_i(2) \psi_j(1) d\tau \quad (13)$$

For the virtual orbitals  $\psi_3, \dots, \dots$ ,

$$\eta_3 \equiv F_{33} = b_{33} + 2J_{31} - K_{31} + \frac{1}{2}(2J_{32} - K_{32}) - K_{32} \quad (14)$$

$$\epsilon_3^C \equiv F_{33}^C = b_{33} + 2J_{31} - K_{31} + \frac{1}{2}(2J_{32} - K_{32}) \quad (15)$$

Calculations of these quantities were made using a modified version of POLYATOM (QCPE 47.1) on the KDF9 computer at the University of

Birmingham, and ATMOL 2,<sup>10</sup> on the IBM 370/195 computer linked to the SRC Atlas Computer Laboratory, Chilton. One *s*-type Gaussian orbital (exponent 0.3) and two *s*-type Gaussian orbitals (exponents 0.8, 0.2) per atom were used in the two sets of calculations. The internuclear distance was taken as 1.4 a. u. The results are shown in the tables. The lack of physical meaning of the  $\eta$  values is well shown<sup>5</sup>, and care is needed in using the aufbau principle with Method I. Dodds and McWeeny have stressed this for Method II.<sup>9</sup>

The method used by the ATMOL programme<sup>10</sup> was developed by Hillier and Saunders<sup>7</sup> on the basis of conditions given by McWeeny<sup>4</sup>. The orbitals obtained by the method can be transformed to a set canonical for a given operator. For the Fock operator corresponding to ionization to the

TABLE I  
Lagrangian parameter  
values for  $H_2^+$  (a. u.)

Symmetry		1 GTO	2 GTO
g	$\eta_1$	-0.07484	-0.07616
	$\epsilon_1^C$	-0.09363	-0.1691
u	$\eta_2$	0.6158	0.4908
	$\epsilon_2^0$	0.3785	0.2805
g	$\eta_3$	-	1.2069
	$\epsilon_3$	-	1.2381
u	$\eta_4$	-	1.717
	$\epsilon_4$	-	1.3583
Total energy		-0.581947	-0.787732

TABLE 2

Molecular orbitals in terms of normalised Gaussian functions

Symmetry	Orbital	1 GTO	2 GTO	
			$\phi_1, \phi_3$	$\phi_2, \phi_4$
g	1	0.5352	0.2330	0.3477
u	2	1.4010 <sup>†</sup>	0.09296*	1.5706*
g	3	-	0.8712	-0.7275
u	4	-	1.2182*	-1.4458*
Exponents	$\phi_1, \phi_2$	0.3	$\phi_1, \phi_3$	0.8; $\phi_2, \phi_4$ 0.2

<sup>†</sup> The coefficient of  $\phi_2$  is negative

\* The coefficients of  $\phi_3, \phi_4$  are opposite in sign to  $\phi_1, \phi_2$

triplet state, with matrix  $H_3$  in Hillier and Saunders' notation,

$$\epsilon_1^3 = h_{11} + J_{11} + J_{22} \quad (16)$$

which has the value  $-0.036021$  in the one Gaussian per atom basis, and  $-0.12262$  in the two Gaussian per atom basis. The corresponding Koopmans theorem ionization potentials are  $0.98$  eV and  $3.34$  eV.

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## RESUMEN

Se obtienen expresiones para casos de uno y dos operadores de Fock para la molécula de  $H_2^+$ , en métodos de Hartree-Fock para moléculas de capas abiertas; así mismo se muestran valores de los multiplicadores de Lagrange para  $H_2^+$ , al igual que los coeficientes de los orbitales moleculares, utilizando dos diferentes conjuntos de funciones gaussianas como funciones de la base.