

# Bright optical spatial solitons in a photovoltaic photorefractive waveguide exhibiting the two photon photorefractive effect

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We investigate for the first time, photorefractive solitons in a two photon photorefractive waveguide which also exhibits the bulk photovoltaic effect. The dynamical evolution equation of such solitons has been obtained under the paraxial ray approximation. The existence curve for the solitons is derived and four distinct regions of power have been identified in the absence of waveguiding depending upon the threshold power for self trapping. Bistable states have been observed to be present. We have studied the effect of the planar waveguide and found that it enhances the self trapping nonlinearity and hence results in a reduction of the threshold power required for formation of the soliton. The propagation of the light beam is studied for various different strengths of the waveguide. A beam which would not have normally been self trapped can now become a soliton by virtue of the planar waveguide structure. Finally, we investigate the linear stability of these solitons by both, the Lyapunov method and numerical simulations.

*Keywords:* Photorefractive materials; two photon photorefractive effect; optical spatial solitons; photorefractive waveguides.

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## 1. Introduction

The photorefractive effect can be simply defined to be refractive index change as a function of incident intensity. An inhomogeneous light distribution excites charge carriers from donors and creates a charge concentration gradient. Charge transport through diffusion and/or drift and subsequent recombination at acceptor levels leads to a space charge field. The space charge electric field induces a refractive index change through the electro-optic effect. Self trapping or formation of spatial solitons can be realized in such photorefractives due to this index waveguide which leads to counteraction of diffraction. Hence, it is actually a robust balance between the nonlinearity and diffraction which leads to soliton formation in such materials.

Optical spatial solitons in photorefractive materials, which were theoretically predicted to exist in steady state [1,2] and demonstrated experimentally [3,4] have been an attractive topic for research ever since spanning a diverse range of investigations of the soliton characteristics [5-26]. The photorefractive effect can be said to correspond to a saturable nonlinearity and hence avoids the catastrophic collapse associated with the Kerr nonlinearity for 2+1 D solitons [27]. In addition, solitons are realizable in photorefractive media at relatively low laser powers (a few mW) and hence very convenient to observe experimentally. Potential practical applications of photorefractive solitons lie in the fields of optical switching, optical navigation, waveguiding, beam steering, optical computing, optical interconnects etc. [28-31].

There is a rich diversity of photorefractive solitons depending upon the type of nonlinearity in the photorefractive crystal (linear or quadratic or both) and the charge transport

mechanism incorporating the drift and diffusion of charge carriers subsequently resulting in the formation of the space charge field. Screening solitons [1,32], photovoltaic solitons [33-35], screening photovoltaic solitons [21,36], pyroelectric solitons [20], screening photovoltaic pyroelectric solitons [37], centrosymmetric solitons [23], solitons in photorefractives having both linear and quadratic nonlinearity [38], photorefractive polymeric solitons [39,40] are some of the types of spatial solitons investigated in photorefractive materials.

The mechanism of the above mentioned solitons is the single photon photorefractive effect. Optical spatial solitons can be supported by the two-photon photorefractive effect also. The well-known model of Castro-Camus *et al.* [41] can be used to understand the two-photon photorefractive effect lucidly. According to this model, an intermediate level (IL) must be considered in addition to the valence band (VB) and conduction band (CB). A gating beam is assumed to provide a constant supply of excited electrons from the valence band subsequently excited to the conduction band by the signal beam. The signal beam induces a charge redistribution which then creates a space charge field. The space charge field results in an index waveguide through the electro-optic effect as before. Spatial soliton formation in such two-photon photorefractive media has been investigated in detail in previous researches [22,42-44].

An interesting mechanism which supports the self trapping process in photorefractives is the creation of a channel waveguide. The self defocusing of an optical beam induced by diffraction can be countered by the waveguiding effect and hence the waveguide supports the formation of solitons [12,19,45]. This results in lowering of the threshold power required to self trap a light beam as compared to

the threshold power required in bulk photorefractive media. Photorefractive waveguides have attracted much interest recently with investigations of formation and propagation characteristics of solitons in waveguides embedded in different types of photorefractive crystals [12,19,45]. To the best of our knowledge, no one has investigated the self trapping in a photovoltaic photorefractive waveguide having two-photon photorefractive effect as yet and that will be our objective in this paper. We formulate the theory governing the existence and study the dynamical characteristics of the solitons in Secs. 2 and 3. In Sec. 4, we have investigate the stability of the solitons in the photorefractive waveguide by Lyapunov theory and numerical methods. Section 5 contains a brief summary of our results.

## 2. Theoretical foundation

Consider a light beam propagating in the  $z$  direction in a waveguide embedded in a photovoltaic photorefractive crystal. The optical  $c$ -axis of the photorefractive waveguide is coincident with the  $x$ -direction. The optical beam is polarized along the  $x$ -direction while we shall consider the diffraction to be along  $x$ -axis only. The electric field of the incident optical beam satisfies the following modified Helmholtz equation [12,19,45],

$$\nabla^2 \vec{E} + (k_0 n'_e)^2 \vec{E} - g x^2 \vec{E} = 0, \quad (1)$$

where  $k_0$  is the free space wave number and  $n_e$  is the perturbed extraordinary index of refraction. can be found out from the expression of  $\Delta n$  in photorefractive materials [1],

$$n'_e{}^2 = n_e^2 - n_e^4 r_{eff} E_{sc}, \quad (2)$$

where  $r_{eff}$  is the linear electro-optic coefficient and  $g$  is the waveguide parameter, usually taken as positive. This waveguide parameter represents in essence the strength of the index waveguide as can be seen clearly from (1). The electric field of the light beam is expressed as,

$$\vec{E}(x, z) = \hat{x} \Phi(x, z) e^{ikz}, \quad (3)$$

where  $\Phi(x, z)$  denotes the slowly varying envelope of the wave and  $k = k_0 n_e$  where  $k_0$  is the propagation constant in free space . We shall apply the paraxial approximation which implies that the  $z$  derivative of the amplitude function  $\Phi(x, z)$  is a slowly varying function of  $z$ . Substituting Eq. (2) and Eq. (3) in Eq. (1) and making the paraxial approximation, we obtain,

$$i \frac{\partial \Phi}{\partial z} + \frac{1}{2k_0 n_0} \frac{\partial^2 \Phi}{\partial x^2} - \frac{1}{2} k_0 n_e^3 r_{eff} E_{sc} \Phi - g x^2 \Phi = 0, \quad (4)$$

$\vec{E}_{sc} = \hat{x} E_{sc}$  is the space charge field setup in the photorefractive waveguide.

The expression for the space charge field in two photon photorefractive photovoltaic media neglecting the effect of diffusion can be stated [24],

$$E_{sc} = -E_P \frac{s_2 I_2 (I_2 + I_d + \gamma_1 N_A / S_2)}{(S_1 I_1 + \beta_1) (I_2 + I_d)}, \quad (5)$$

where  $E_P = K \gamma N_A / e \mu$  is the photovoltaic field,  $I_d = \beta / s_2$  is the dark irradiance,  $k$  is the photovoltaic constant,  $N_A$  is the acceptor or trap density,  $\gamma$  is the recombination factor of the conduction to valence band transition,  $\gamma_1$  is the recombination factor for intermediate allowed level to valence band transition,  $\beta_1$  is the thermo ionization probability constant for transition from valence band to intermediate level.  $\beta_2$  is the thermo ionization probability constant for transition from intermediate level to conduction band.  $s_1$  and  $s_2$  are the photo ionization cross sections.  $I_1$  is the intensity of the gating beam which is constant.  $I_2$  refers to the beam intensity profile  $I_\infty$  is the intensity at distances far from the centre, at  $x \rightarrow \pm\infty$ .  $I_d$  is the contribution of thermal effects and hence called as dark irradiance while  $E_0$  refers to the value of the external electric field. In addition, the following dimensionless coordinates shall be adopted,

$$\xi = \frac{z}{k x_0^2}, \quad s = x / x_0,$$

$$I_2 = \frac{n_e}{2\eta_0} |\Phi|^2, \quad \Phi = \sqrt{\frac{2\eta_0 I_d U}{\eta_e}}$$

where  $x_0$  is an arbitrary spatial width which is used for scaling the transverse co-ordinate. Also,  $\eta = \sqrt{\mu_0 / \epsilon_0}$  Using these dimensionless coordinates, the evolution equation becomes,

$$i \frac{\partial U}{\partial \xi} + \frac{1}{2} \frac{\partial^2 U}{\partial s^2} + \alpha \eta \sigma U + \alpha \eta |U|^2 U - \frac{\alpha \eta \sigma U}{a + |U|^2} - \sigma s^2 U = 0 \quad (6)$$

where

$$\alpha = (k_0 x_0)^2 (n_e^4 r_{33} / 2) E_P, \quad \eta = \frac{\beta_2}{s_1 I_1 + \beta_1},$$

$$\alpha = \frac{\gamma_1 N_A}{s_2 I_d} = \frac{\gamma_1 N_A}{\beta_2}, \quad \delta = g k_0 x_0^4 n_e.$$

It is interesting to compare our dynamical evolution Eq. (6) with the corresponding equation for photovoltaic solitons in two-photon photorefractive crystals as derived in Ref. [24]. The authors in Ref. [24] investigate the photovoltaic solitons in photorefractive crystals without any embedded planar waveguide and hence the refractive index change is purely due to the electro-optic effect in the photorefractive crystal. We can see that the Eq. (13) of Ref. [24] is similar to our Eq. (6) but it does not contain the last term which signifies the strength of the waveguide. The effect of the embedded waveguide on the self trapping is the main aspect studied in this paper and hence the last term in Eq. (6) assumes significance.

Also, in Eq. (6), the non-linear variation of the refractive index results due to the fourth and fifth terms. By examining Eq. (6), we get to know that an exact analytical solution cannot be obtained. But there are several methods to approximately solve this equation. Segev's method [32], Akhmanov's paraxial method [46], Anderson's variational method [47] and Vlasov's moment method [48] can be used to solve Eq. (6). In our current analysis, we shall make use of the paraxial approximation and use a variational solution to obtain physically acceptable soliton states. Assume the slowly varying beam envelope to be of the form,

$$U(\xi, s) = A(\xi, s)e^{-i\Omega(\xi, s)}, \quad (7)$$

where  $A(\xi, s)$  is a purely real quantity and  $\Omega(\xi, s)$  represents the phase. Substituting this ansatz in Eq. (6) and equating the real and imaginary parts to zero,

$$\begin{aligned} \frac{\partial A}{\partial \xi} - \frac{\partial A}{\partial s} \frac{\partial \Omega}{\partial s} - \frac{1}{2} A \frac{\partial^2 A}{\partial s^2} &= 0, \\ A \frac{\partial \Omega}{\partial \xi} - \frac{1}{2} A \left( \frac{\partial \Omega}{\partial s} \right)^2 + \frac{1}{2} \frac{\partial^2 A}{\partial s^2} + \alpha \eta \sigma A + \alpha \eta (|A|^2) A \\ - \alpha \eta \sigma A (1 + |A|^2)^{-1} - \delta s^2 A &= 0. \end{aligned} \quad (8)$$

In Eq. (8), the first two terms represent the convergence or divergence of the beam and the third term represents the diffraction properties of the beam. The fourth, fifth and sixth terms elucidate the photovoltaic properties of the crystal. The fifth and sixth term in particular, represent the non-linear terms contributing to the refractive index change. The last term in Eq. (8) is due to the planar waveguide structure in the photorefractive material. The last three terms control the diffraction effects and hence lead to a self trapped soliton propagation. The solution of Eq. (8) can be taken to be Gaussian. If we see Ref. [47], Anderson set a trend by suggesting the use of Gaussian profile in variational methods. Subsequently, this was implemented by many researchers in non-linear optics [12,19,49-58]. Gaussian ansatz is most widely used for approximating solutions for non integrable systems and most widely used by the nonlinear optics and soliton community.

Firstly, Gaussian ansatz enables the calculations to become remarkably easier. Secondly, numerically computed exact profiles do not differ widely from the Gaussian one in most of the cases and thirdly, Gaussian profile is qualitatively very close to sech profile as it has almost the same half width and also the integral of the two profiles are comparable [47]. Finally, analytical simplification is not expected by using sech ansatz in most cases [58]. Since we use an approximate solution, we can term these solutions as quasi-solitons and henceforth the term soliton will refer to such quasi-solitons. We shall use the Gaussian ansatz of the form,

$$\begin{aligned} A(s, \xi) &= \frac{\sqrt{P}}{\sqrt{a(\xi)}} e^{-\frac{s^2}{2r^2 a^2(\xi)}}, \\ \Omega(\xi, s) &= \frac{1}{2} s^2 \Theta(\xi) + \psi(\xi), \\ \Theta(\xi) &= -\frac{d}{d\xi} \ln a(\xi). \end{aligned} \quad (9)$$

Here,  $P$  represents the normalized peak power of the soliton,  $r$  is a constant,  $a(\xi)$  represents the normalized beam width parameter and the product  $ra(\xi)$  gives us the spatial width of the soliton. The solution in Eq. (9) is taken to be a variational solution having  $a(\xi)$ ,  $\Theta(\xi)$  and  $\psi(\xi)$  as the variational parameters which we need to obtain for physically acceptable soliton solutions.  $\Omega(\xi, s)$  is basically the phase of the propagating soliton beam given as  $\Omega(\xi, s) = \frac{1}{2} s^2 \Theta(\xi) + \psi(\xi)$ . The first term on the RHS,  $\frac{1}{2} s^2 \Theta(\xi) + \psi(\xi)$  signifies the phase in the transverse direction while the second term  $\psi(\xi)$  signifies the longitudinal phase.

In general, we can assume,  $a = 1$  at  $\xi = 0$  Also, it is quite logical to assume further that the soliton beam is not divergent at the entrance face of the photorefractive crystal and hence,  $da/d\psi = 0$  at  $\xi = 0$ .

Now, we know that  $ra(\xi)$  is the spatial width of the beam. If we have,  $a(\xi) = 1$  always, then we know that a stable propagating soliton forms of constant width  $r$ , hence we can also refer to  $r$  as equilibrium spatial width. After some algebraic simplification and retaining only first order terms, we obtain,

$$\frac{d^2 a}{d\xi^2} = \frac{1}{r^4 a^3} - 2a\eta \left( \frac{P}{r^2 a^2} \right) - 2a\eta \sigma \frac{\frac{2}{r^2 a^2}}{\left[ 1 + \frac{P}{a} \right]^2} - 2\delta a. \quad (10)$$

### 3. Existence of solitons

The above Eq. (10) illustrates the dynamical evolution of the beam width parameter and hence tells us about the variation of the spatial width itself. A self trapped beam results if the beam width remains constant. So we equate LHS of Eq. (10) to zero and obtain,

$$\frac{1}{r^4} = \frac{1}{r^2} \left[ 2a\eta P_{0t} + \frac{2a\eta \delta P_{0t}}{(1 + P_{0t})^2} \right] + 2\delta, \quad (11)$$

$$r = \left\{ 2a\eta P_{0t} \left[ 1 + \frac{\delta}{(1 + P_{0t})^2} \right] + 2\delta r^2 \right\}^{-1/2}. \quad (12)$$

Equations (11) and (12) can be denoted to be an existence equation for bright solitons propagating stably through the photorefractive waveguide since it shows a relationship between the equilibrium spatial width ( $r$ ), the threshold power ( $P_{0t}$ ) and the waveguide strength  $\delta$ . The plot in Fig. 1 shows how this existence curve changes when we increase the strength of the waveguide  $\delta$ . Equation (12) can be recast into a polynomial equation in terms of the threshold power  $P_{0t}$ . The value of the threshold power may then be found out

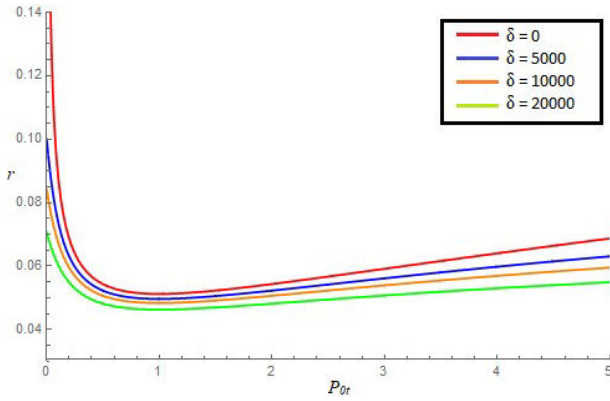


FIGURE 1. Existence curve in the presence of waveguiding showing the threshold power  $P_{0t}$  as a function of equilibrium spatial width  $r$  when  $\delta = 0, 5000, 10000, 20000$ .

by solving the ensuing equation. This has been attempted and since the solution is a bit lengthy, it is shown in the Appendix. The parameters  $\delta, \eta$  are positive and hence, we can see that bright solitons will be supported only in a material with a positive value of  $\alpha$ . In the present analysis, we shall consider the following material parameters for illustration of our results [21,22,41,59],  $n_e = 2.2$ ,  $r_{\text{eff}} = 30 \times 10^{-12}$  m/V,  $s_1 = s_2 = 3 \times 10^{-4}$  m<sup>2</sup>W<sup>-1</sup>s<sup>-1</sup>,  $\beta_1 = \beta_2 = 0.05$  s<sup>-1</sup>,  $\gamma_1 = 3.3 \times 10^{-17}$  m<sup>-3</sup>s<sup>-1</sup>,  $N_A = 10^{22}$  m<sup>-3</sup>,  $E_P = 4 \times 10^6$  V/m. Other parameters will be chosen as,  $I_1 = 5 \times 10^7$  W/m<sup>2</sup>,  $\lambda_0 = 0.5 \times 10^{-6}$  m,  $x_0 = 10$   $\mu$ m. Based on these parameters, we get,  $\alpha = 22.19$ ,  $\eta = 3.33 \times 10^{-6}$ ,  $\alpha = 6.6 \times 10^{-6}$ .

Looking at the Fig. 1, we can infer the parameters of the solitons which can travel stably through the photorefractive waveguide. For stable soliton propagation, we can infer the threshold power  $P_{0t}$  required for a particular soliton width very easily by seeing the existence curve shown in Fig. 1 considering the respective waveguide strength. We can see that the equilibrium spatial width  $r$  increases as the threshold power  $P_{0t}$  tends towards zero. This implies that the spatial width of the soliton so formed increases with a decrease in the threshold power in the low power regime when  $P_{0t}$  tends towards zero. The increase in the equilibrium spatial width of the soliton as  $P_{0t}$  tends towards zero becomes more pronounced as the waveguiding strength becomes lesser. This is expected since the waveguide supports the self trapping of the soliton and a lesser value of the waveguide parameter will result in a weaker nonlinearity for self trapping and in turn a larger spatial width for the soliton.

Equation (12) has got four solutions or roots for the equilibrium spatial width parameter  $r$ . Inspection of the roots reveals that two roots are complex and hence they can be rejected as unphysical. Again, the third root is real but negative which can again be rejected as the soliton width cannot be negative. Hence, only one of the roots, which is positive and real can be identified to be the soliton width. From Fig. 1, we can infer that there are two values of the threshold power which are possible for a particular value of the equilibrium spatial width of the soliton. We can see that one of the values of the power lies in the low power regime and the other lies

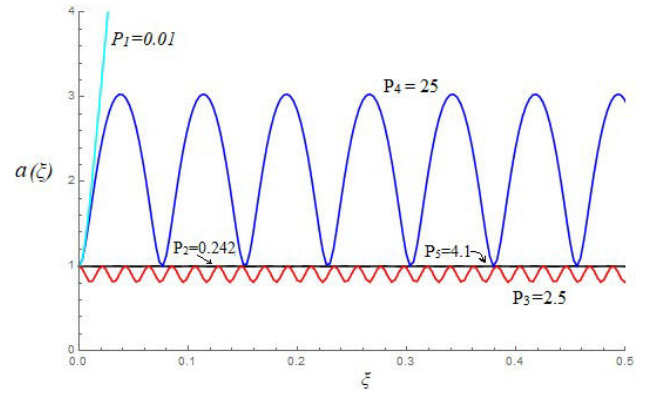


FIGURE 2. Soliton evolution shown by the variation in the beam width parameter  $a(\xi)$  with normalized distance for different peak powers.

in the high power regime. This is the hallmark of bistable states. We shall identify the two threshold powers as  $P_{0t1}$  and  $P_{0t2}$ . Bistability means the system has two stable equilibrium states and it can take either of the two values. Now, we define the two powers for which the soliton exists as “threshold powers” denoted by  $P_{0t1}$  and  $P_{0t2}$ . The two “threshold” powers are simply denoting the two bistable states of the system.

We shall now try to understand the propagation behaviour of the solitons at different peak powers. We first consider the scenario in which there is no waveguiding effect and hence  $\delta = 0$ . Figure 2 shows the variation of the variable beam width parameter with distance of propagation. We have taken four distinct powers as follows,  $P_1 (= 0.01) < P_{0t1}$ ,  $P_2 (= 0.242) = P_{0t1}$ ,  $P_{0t1} < P_3 (= 2.5) < P_{0t2}$ ,  $P_4 (= 25) > P_{0t2} (= 4.1)$ . For clarity, we can take  $P_5 = P_{0t2} (= 4.1)$ .

When the peak power is equal to  $P_1$ , the beam width diverges to a large value because the light beam’s power is much less than the threshold power  $P_{0t1}$  required to self trap the beam. Hence, a stable soliton cannot form in such a case. Again, if the light beam’s power is exactly equal to the threshold power  $P_{0t1}$  or  $P_{0t2}$ , we can see that the spatial width remains constant and hence results in stable self trapping. If the beam’s peak power lies between  $P_{0t1}$  or  $P_{0t2}$ , we can see that the spatial width of the light beam oscillates but with an amplitude of oscillation less than unity. This can also be termed to be stable self trapping and the beam interpreted as a soliton. But when the peak power is greater than  $P_{0t2}$ , we can see that the spatial width of the light beam oscillates with an amplitude of oscillation greater than 1 and hence self trapping cannot be said to take place in such a case. Figures 3 to 6 show the dynamical evolution of the soliton for these above mentioned cases respectively and reinforce the existence of four separate power regions.

In Fig. 3, we can see a stable soliton does not form and diverges because the power is less than the threshold power  $P_{0t1}$  needed to form the soliton. In Fig. 4, we see the formation of a perfect soliton since the power now equals the threshold power, either  $P_{0t1}$  or  $P_{0t2}$ . In Fig. 5, since the power of the light beam lies between the two threshold pow-

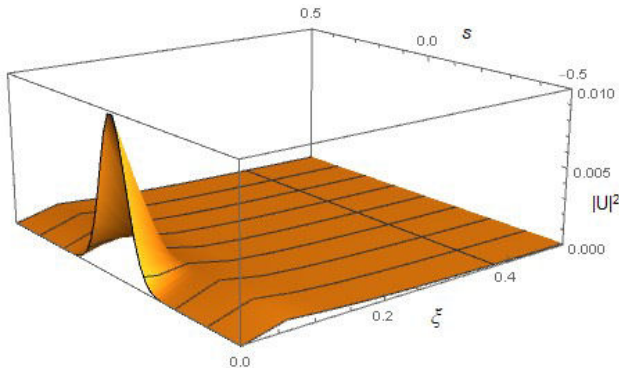


FIGURE 3. Dynamical evolution of the soliton beam in the absence of waveguiding ( $\delta = 0$ ) with power less than the first threshold power,  $P_1 (= 0.01) < P_{0t1}$ .

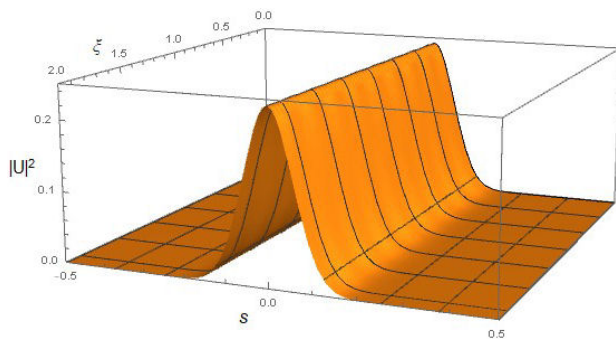


FIGURE 4. Dynamical evolution of the soliton beam in the absence of waveguiding ( $\delta = 0$ ) with power equal to the threshold power,  $P_2 (= 0.242) = P_{0t1}$  or  $P_5 (= 4.1)$ .

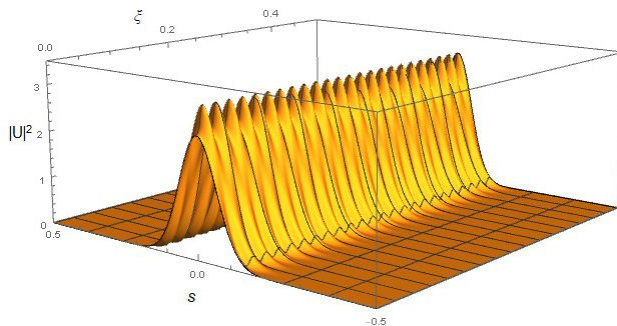


FIGURE 5. Dynamical evolution of the soliton beam in the absence of waveguiding ( $\delta = 0$ ) with power  $P = 2.5$ , *i.e.*, power lying between the two threshold powers  $P_{0t1}$  and  $P_{0t2}$

ers  $P_{0t1}$  and  $P_{0t2}$ , we can see a sort of self trapping happening. As mentioned before, even though the spatial width and amplitude are oscillating, the oscillation amplitude is less than unity and this can be termed as a soliton. But in Fig. 6, when the light beam's power is increased to greater than  $P_{0t2}$ , we can clearly infer that the travelling light beam is not a soliton since its spatial width and amplitude oscillate with an amplitude greater than unity.

Next, we shall investigate the effect of the waveguide on the propagation of the light beam within the photorefractive crystal. Solving (11) for different values of  $\delta$ , we have plot-

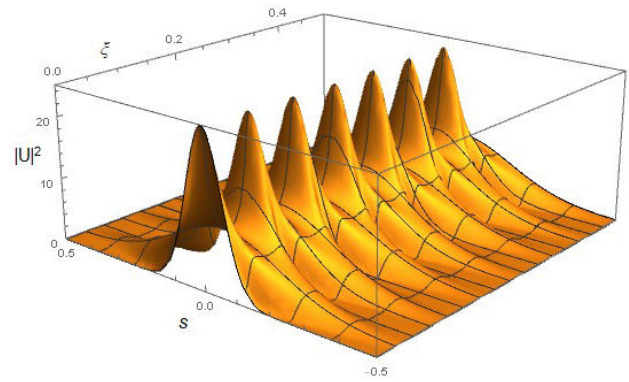


FIGURE 6. Dynamical evolution of the soliton beam in the absence of waveguiding ( $\delta = 0$ ) with power greater than the second threshold power,  $P_4 (= 25) > P_{0t2} (= 4.1)$ .

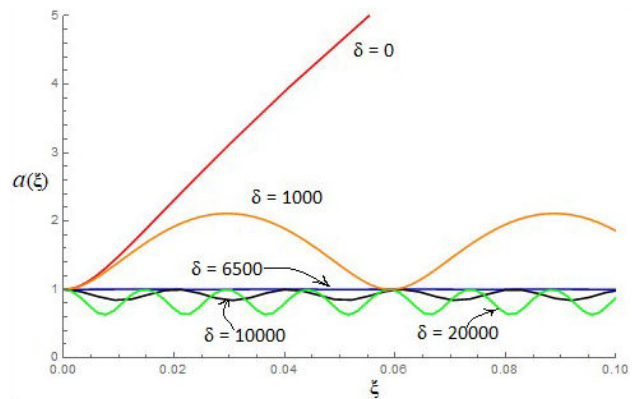


FIGURE 7. Soliton evolution shown by the variation in the beam width parameter  $a(\xi)$  with normalized distance for different waveguide strengths.  $P = 0.08$ ,  $r = 0.0808$ .

ted the soliton's evolution while propagating. We have chosen the value of power  $P$  and  $r$  such that  $P$  is less than the threshold power  $P_{0t1}$  for that particular value or  $r$ . We take  $r = 0.0808$  and we have chosen the beam's peak power  $P = 0.08$ . From the existence curve in Fig. 1, we infer that the power  $P = 0.08$  is less than the threshold power needed to form a soliton for the particular  $r = 0.0808$ . Since the peak power is less than the threshold power, we expect no self trapping or self focussing in absence of any other intervention and hence it is interesting wish to see the influence of the waveguide on the propagation characteristics of such a light beam.

Figure 7 graphically shows how the beam width changes with propagation distance. For the unguided case, *i.e.*,  $\delta = 0$ , we can see that the light beam's spatial profile diverges sharply and hence self trapping does not take place. As we increase the strength of the waveguide (by increasing  $\delta$ ), we can clearly see some sort of self trapping effect. At a particular value of the waveguide strength ( $\delta = 6500$ ), the beam width equals one and remains constant with propagation. Hence, a perfectly self trapped beam, *i.e.*, soliton can be clearly inferred to exist here. The waveguide enhances the nonlinearity induced self focussing and is just enough to enable the forma-

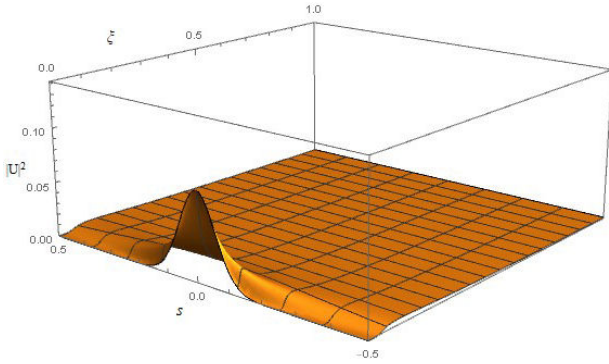


FIGURE 8. Dynamical evolution of the soliton beam ( $\delta = 0$ ),  $P = 0.08$ ,  $r = 0.0808$ .

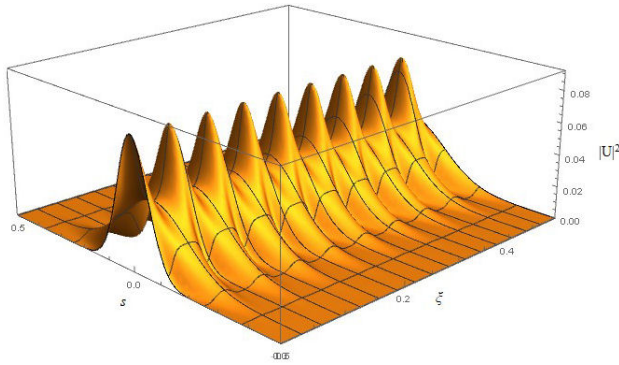


FIGURE 9. Dynamical evolution of the soliton beam in the presence of waveguiding ( $\delta = 1000$ ),  $P = 0.08$ ,  $r = 0.0808$ .

tion of a perfectly self trapped beam or soliton of width  $ra(\xi) = 0.0808$ . As we keep on increasing the waveguide parameter to above this particular value, the beam width starts to oscillate with propagation but the amplitude of oscillation is less than unity. Such beams can also be said to be self trapped and hence referred to as solitons. The important observation here is that a soliton could be realized even at peak power less than the threshold power in such two photon photovoltaic photorefractive material due to the self focussing provided by the planar waveguide. The higher we choose the waveguide parameter, the lower will be our required peak power to realize a soliton within the crystal. Figure 8-12 show the aforementioned cases by plotting the soliton's dynamical evolution with distance explicitly. In Fig. 8, we can see that the soliton diverges as the waveguide strength is zero and the power of the soliton is less than the threshold power required for self trapping. As we increase the waveguide strength by increasing the waveguide parameter, we can see some sort of self trapping in Fig. 9, but it cannot be called as a soliton beam since the beam width oscillates with propagation and the amplitude of oscillation is greater than unity. As we increase the waveguide parameter further, for  $\delta = 6500$ , we can see the propagation of a perfect soliton in Fig. 10. For further increases in the waveguide parameter, in Figs. 11 and 12, we can see some sort of self trapping and hence these can also be called solitons since the beam width oscillates

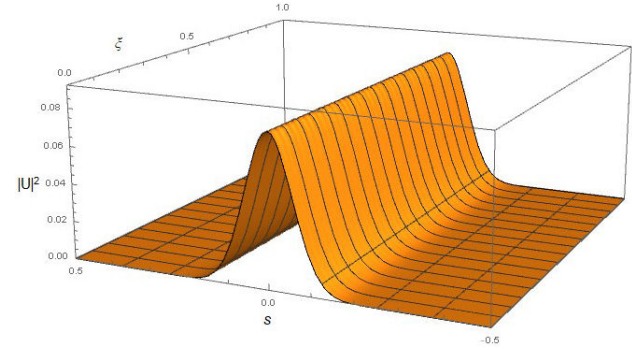


FIGURE 10. Dynamical evolution of the soliton beam in the presence of waveguiding ( $\delta = 6500$ ),  $P = 0.08$ ,  $r = 0.0808$ .

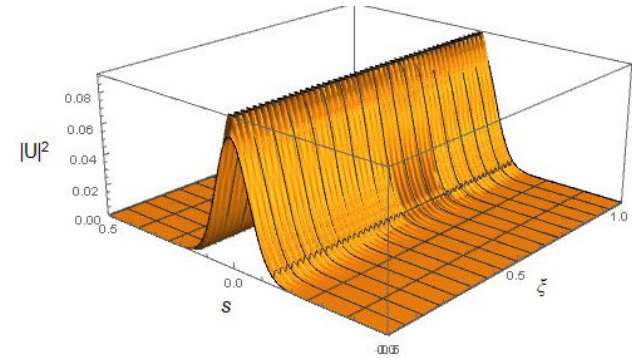


FIGURE 11. Dynamical evolution of the soliton beam in the presence of waveguiding ( $\delta = 10000$ ),  $P = 0.08$ ,  $r = 0.0808$ .

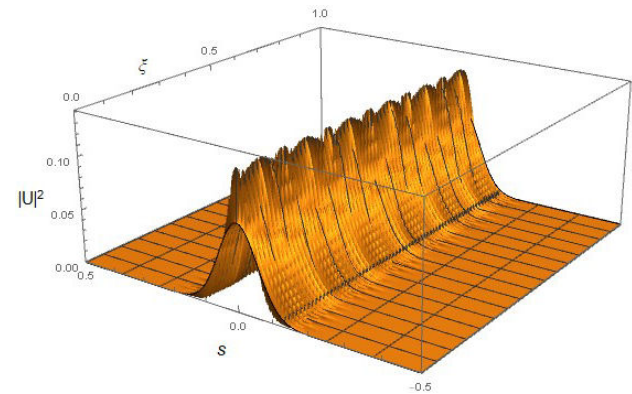


FIGURE 12. Dynamical evolution of the soliton beam in the presence of waveguiding ( $\delta = 20000$ ),  $P = 0.08$ ,  $r = 0.0808$ .

with propagation but the amplitude of oscillation is less than unity.

#### 4. Linear stability analysis

At this point, it is important that we investigate the stability of the solitons propagating inside the photorefractive waveguide since only stable solitons have potential practical applications. It will be in order to revisit the Eq. (11) and define new quantities  $\zeta$ ,  $F$ ,  $X$  such that,

$$\frac{da}{d\xi} = F(a, \zeta) = \zeta, \quad (13)$$

and

$$\frac{da}{d\xi} = \frac{1}{r^4 a^3} - 2a\eta \left( \frac{P}{r^2 a^2} \right) - 2a\eta\sigma \frac{\frac{2}{r^2 a^2}}{\left[1 + \left(\frac{P}{a}\right)\right]^2} - 2\delta = X(a, \zeta). \quad (14)$$

In Eq. (13), as a general case,  $da/d\xi$  has been defined to be a new function  $F(a, \zeta)$  and in particular,  $F(a, \zeta) = \zeta$ .  $F$  is a function while  $\zeta$  is a variable. Similar arguments can be used to understand the function in Eq. (14). Equation (14) has been obtained by using Eqs. (11) and (13). Equations (13) and (14) now serve as the point for starting our investigation into the stability of solitons using Lyapunov's exponent [60]. Since we consider the linear stability, we shall write the variables to consist of a steady state value and a very small perturbation term. So,  $a = a_s + \hat{a}$  and  $\zeta = \zeta_s + \hat{\zeta}$  where the subscript s represents the steady state values and  $\hat{a}$  and  $\hat{\zeta}$  represent the small perturbations in  $a$  and  $\zeta$  respectively. Substituting in (13) and (14) and linearizing the ensuing expression, we get,

$$\frac{d\mathfrak{R}}{d\xi} = J\mathfrak{R}, \quad (15)$$

where,

$$\mathfrak{R} = \begin{bmatrix} \hat{a} \\ \hat{\zeta} \end{bmatrix}$$

and

$$J = \begin{bmatrix} \left(\frac{\partial F}{\partial a}\right)_{a_s} & \left(\frac{\partial F}{\partial \zeta}\right)_{\zeta_s} \\ \left(\frac{\partial X}{\partial a}\right)_{a_s} & \left(\frac{\partial X}{\partial \zeta}\right)_{\zeta_s} \end{bmatrix} = \begin{bmatrix} F_a & F_\zeta \\ X_a & X_\zeta \end{bmatrix}. \quad (16)$$

Constructing a Jacobian determinant and equating to zero for a non trivial solution,

$$\det(J - \lambda I) = \begin{bmatrix} F_a - \lambda & F_\zeta \\ X_a & X_\zeta - \lambda \end{bmatrix} = 0. \quad (17)$$

Solving (17), we get,

$$\lambda^2 - \Sigma\lambda - \Xi = 0, \quad (18)$$

where,

$$\Sigma = \left( \frac{\partial F}{\partial a} + \frac{\partial X}{\partial \zeta} \right)_{a_s, \zeta_s},$$

and

$$\Xi = \left( \frac{\partial X}{\partial a} \frac{\partial F}{\partial \zeta} + \frac{\partial F}{\partial a} \frac{\partial X}{\partial \zeta} \right)_{a_s, \zeta_s}. \quad (19)$$

Following Lyapunov, we can conclude that steady state solutions of (13)-(14) will be stable if  $\lambda$  is not positive. The two eigenvalues of the above equation (19) come out to be

$$\lambda = \frac{-\Sigma \mp \sqrt{\Sigma^2 + 4\Xi}}{2}. \quad (20)$$

Since both the roots come out to be imaginary, we can conclude that the solitons are stable. This can be verified numerically also by investigating the behaviour of solitons in the phase plane under small perturbations. The variation in spatial width  $a$  is plotted in Figs. 13-15 under small perturbations. The perturbation ranges from 5% to 15%. The stability of solitons is inferred by the closed trajectories.

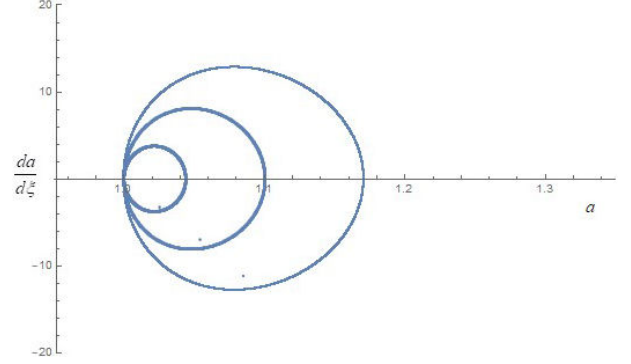


FIGURE 13. Phase trajectory of the spatial soliton resulting from the perturbation in its value at steady state. The perturbation ranges from 5% to 15% and a low power regime has been considered. ( $P \ll 1$ ) (perturbed power less than  $P_{0t1}$ ).

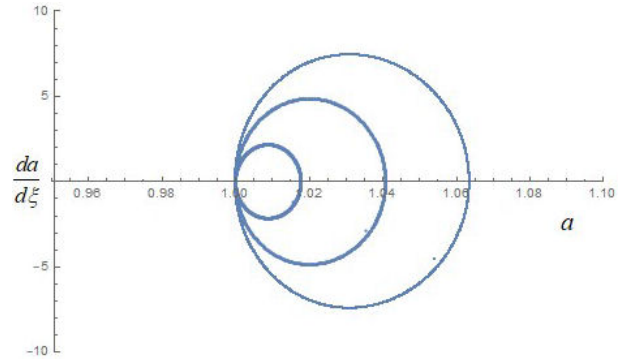


FIGURE 14. Phase trajectory of the spatial soliton resulting from the perturbation in its value at steady state. The perturbation ranges from 5% to 15% and a high power regime has been considered. ( $P \gg 1$ ) (perturbed power greater than  $P_{0t1}$ ).

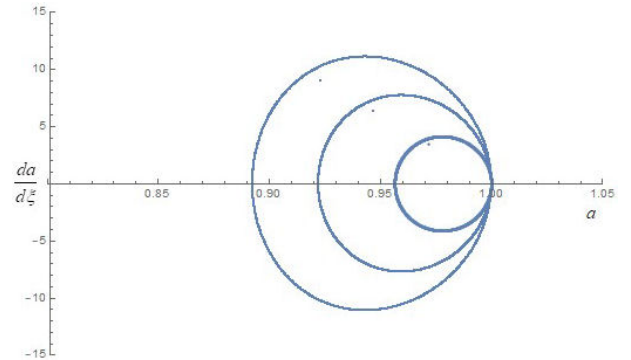


FIGURE 15. Phase trajectory of the spatial soliton resulting from the perturbation in its value at steady state. The perturbation ranges from 5% to 15% above  $P_{0t1}$  and hence the perturbed power lies between  $P_{0t1}$  and  $P_{0t2}$ .

## 5. Conclusion

We have investigated the existence, propagation and characteristics of optical spatial solitons in a waveguide embedded in a two photon photovoltaic photorefractive crystal. In the absence of waveguiding, we have identified four distinct regimes of power and investigated the dynamical evolution of the solitons in all of these. We also observe the presence of bistable states. We then study the effect of the waveguide parameter or the strength of the waveguide on the self trapping. The planar waveguide enhances the self focussing due to the photorefractive nonlinearity resulting in lessening of the threshold power required to support steady state self trapping. The higher is the considered value of the waveguide parameter or the waveguide strength, the lower is the threshold power required to self trap a soliton. Finally, we have investigated the linear stability of such solitons analytically

and also by numerical simulations which reveal that they are stable against small perturbations.

## Appendix A

We have, from (12),

$$\frac{1}{r^4} = \frac{1}{r^2} \left[ 2a\eta P_{0t} + \frac{2a\eta\delta P_{0t}}{(1 + P_{0t})^2} \right] + 2\delta. \quad (\text{A.1})$$

Simplifying, we get

$$(1 + P_{0t})^2 = 2\alpha\eta r^2 P_{0t}(1 + P_{0t})^2 + 2\alpha\eta\delta r^2 P_{0t} + 2\delta r^4(1 + P_{0t})^2. \quad (\text{A.2})$$

Equation (A.2) is a polynomial equation of order three which can be solved to get the threshold power  $P_{0t}$ ,

$$P_{0t} = \frac{1 - 2r^4\delta - 4r^2\alpha\eta + \sqrt{(-1 - 2r^4\delta - 4r^2\alpha\eta)^2 + 12r^2\alpha\eta(-1 + 2r^4\delta + r^2\alpha\eta + r^2\alpha\eta\delta)}}{6r^2\alpha\eta} + \left\{ \begin{array}{l} 3^{(2/3)r^2\alpha\eta} \left\{ \begin{array}{l} -2 + 12r^4\delta - 24r^8\delta^2 + 16r^{12}\delta^3 - 12r^2\alpha\eta + 48r^6\alpha\delta\eta - 48r^{10}\alpha\delta^2\eta - 24r^4\alpha^2\eta^2 + 48r^8\alpha^2\delta\eta^2 - 16r^6\alpha^3\eta^3 + 36r^4\alpha^2\eta^2\sigma - 72r^8\alpha^2\delta\eta^2\sigma - 144r^6\alpha^3\eta^3\sigma + ((-2 + 12r^4\delta - 24r^8\delta^2 + 16r^{12}\delta^3 - 12r^2\alpha\eta + 48r^6\alpha\delta\eta - 48r^{10}\alpha\delta^2\eta - 24r^4\alpha^2\eta^2 + 48r^8\alpha^2\delta\eta^2 - 16r^6\alpha^3\eta^3 + 36r^4\alpha^2\eta^2\sigma - 72r^8\alpha^2\delta\eta^2\sigma - 144r^6\alpha^3\eta^3\sigma)^2 + 4(-1 - 2r^4\delta - 4r^2\alpha\eta)^2 + 12r^2\alpha\eta(-1 + 2r^4\delta + r^2\alpha\eta\sigma))^3 \end{array} \right\}^{1/3} \\ -\frac{1}{6(2)^{1/3}r^2\alpha\eta} \left\{ \begin{array}{l} -2 + 12r^4\delta - 24r^8\delta^2 + 16r^{12}\delta^3 - 12r^2\alpha\eta + 48r^6\alpha\delta\eta - 48r^{10}\alpha\delta^2\eta - 24r^4\alpha^2\eta^2 + 48r^8\alpha^2\delta\eta^2 - 16r^6\alpha^3\eta^3 + 36r^4\alpha^2\eta^2\sigma - 144r^6\alpha^3\eta^3\sigma + ((-2 + 12r^4\delta - 24r^8\delta^2 + 16r^{12}\delta^3 - 12r^2\alpha\eta + 48r^6\alpha\delta\eta - 48r^{10}\alpha\delta^2\eta - 24r^4\alpha^2\eta^2 + 48r^8\alpha^2\delta\eta^2 - 16r^6\alpha^3\eta^3 + 36r^4\alpha^2\eta^2\sigma - 72r^8\alpha^2\delta\eta^2\sigma - 144r^6\alpha^3\eta^3\sigma)^2 + 4(-1 - 2r^4\delta - 4r^2\alpha\eta)^2 + 12r^2\alpha\eta(-1 + 2r^4\delta + r^2\alpha\eta\sigma))^3 \end{array} \right\}^{1/3} \end{array} \right\}. \quad (\text{A.3})$$

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## Data availability statement

The data that support the findings of this study are available from the author, upon reasonable request.



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